

Functions and Curves

1d function (Known)

$$f: D \subseteq \mathbb{R} \rightarrow R \subseteq \mathbb{R}$$
$$x \mapsto f(x)$$

e.g. $D = R = \mathbb{R}$ $f(x) = x^2 + 2x + 1$

2d function (Known)

$$f: D \subseteq \mathbb{R}^2 \rightarrow R \subseteq \mathbb{R}$$
$$(x, y) \mapsto f(x, y)$$

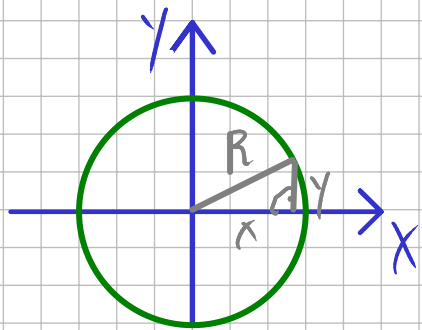
e.g. $D = \mathbb{R}^2$ $R = \mathbb{R}$ $f(x, y) = 5xy - x - y$

Vector valued function (e.g. velocity)

$$\vec{f}: D \subseteq \mathbb{R}^2 \rightarrow R \subseteq \mathbb{R}^2$$
$$(x, y) \mapsto \vec{f}(x, y)$$

e.g. $D = R = \mathbb{R}^2$ $\vec{f}(x, y) = \begin{pmatrix} 2x + y \\ x^2 \end{pmatrix}$

Back to 1d function: How to get a circle?



$$x^2 + y^2 = R^2 \Rightarrow y = \pm \sqrt{R^2 - x^2} \Rightarrow 2 \text{ functions}$$

↑
implicit function
curve

↑
explicit function

Conclusion:

- Not possible to characterize circle with one explicit function (we need 2)
- Implicit curves are a nice way to define a curve

We want to use another way to define curves

Parametric curves

Parameter can be seen as time or angle

Idea for simple function $f(x) = x^2$

$$\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} t \\ t^2 \end{pmatrix}$$

$$\begin{aligned} x &= t \\ y &= t^2 = x^2 \quad \checkmark \end{aligned}$$

Or in general for functions $\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} t \\ f(t) \end{pmatrix}$ $x = t$
 $y = f(t) = f(x)$ ✓

$\begin{pmatrix} x \\ y \end{pmatrix}$... coordinates at time t

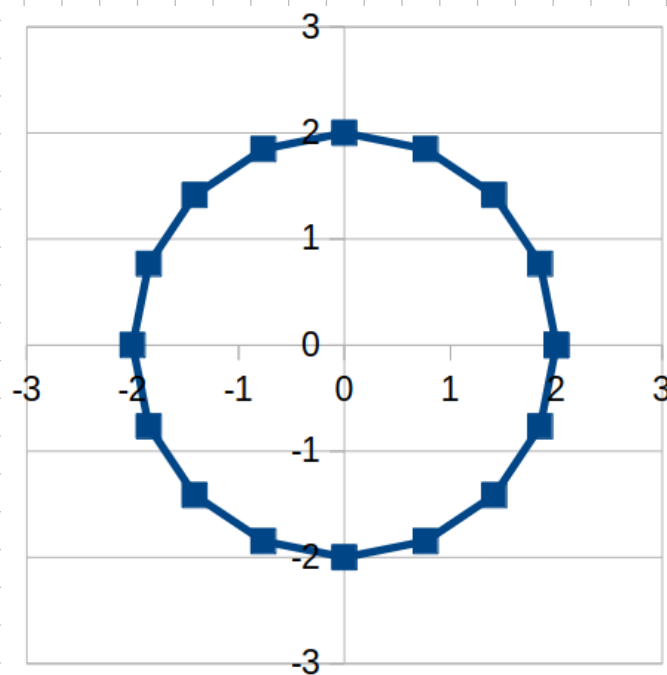
New idea: $\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x(t) \\ y(t) \end{pmatrix}$ both coordinates are functions of time t

E.g. $\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 2 \cos t \\ 2 \sin t \end{pmatrix}$ $t \in [0, 2\pi)$

E.g. at time $t = \frac{\pi}{2}$ $x(\frac{\pi}{2}) = 2 \cdot \cos(\frac{\pi}{2}) = 0$ $y(\frac{\pi}{2}) = 2 \sin(\frac{\pi}{2}) = 2$

Take with $t = 0, \frac{\pi}{8}, 2\frac{\pi}{8}, \dots, 16\frac{\pi}{8}$

t	x	y	
0.00	2.00	0.00	
0.39	1.85	0.77	
0.79	1.41	1.41	
1.18	0.77	1.85	
1.57	0.00	2.00	
1.96	-0.77	1.85	
2.36	-1.41	1.41	
2.75	-1.85	0.77	
3.14	-2.00	0.00	
3.53	-1.85	-0.77	
3.93	-1.41	-1.41	
4.32	-0.77	-1.85	
4.71	0.00	-2.00	
5.11	0.77	-1.85	
5.50	1.41	-1.41	
5.89	1.85	-0.77	
6.28	2.00	0.00	

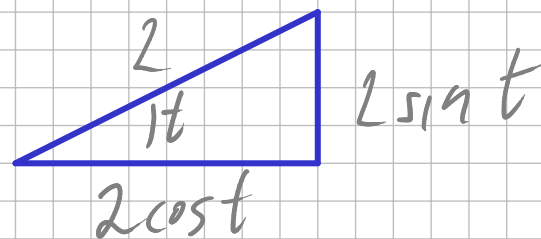


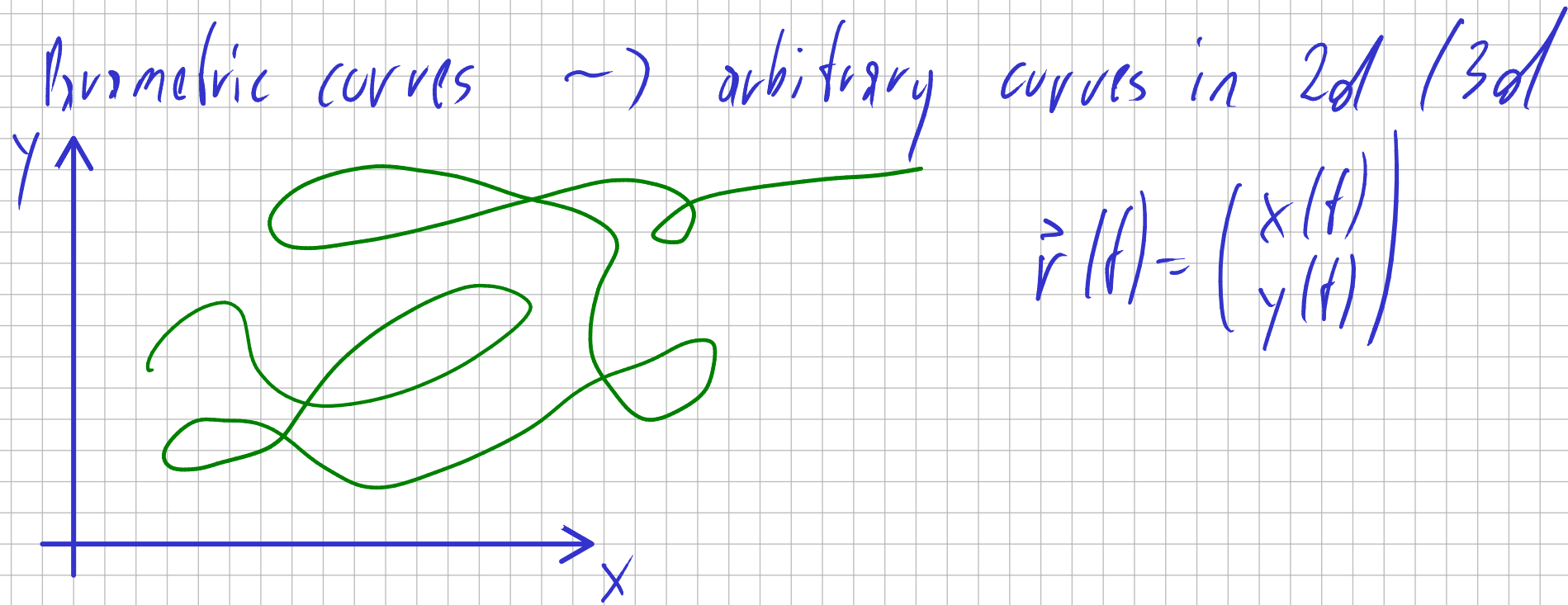
Circle with
center $(0,0)$
radius 2

Why?

$$x = 2 \cos t$$

$$y = 2 \sin t$$





Example straight line $P = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ $Q = \begin{pmatrix} 3 \\ 2 \end{pmatrix} \Rightarrow \vec{v} = \begin{vmatrix} 3 \\ 2 \end{vmatrix} - \begin{vmatrix} 1 \\ 1 \end{vmatrix} = \begin{pmatrix} 2 \\ 1 \end{pmatrix}$

$$\vec{r}(t) = \begin{pmatrix} 1 \\ 1 \end{pmatrix} + t \begin{pmatrix} 2 \\ 1 \end{pmatrix} = \begin{pmatrix} 1+2t \\ 1+t \end{pmatrix} \quad t \in \mathbb{R}$$

For functions f , we know the tangent formula for x_0

$$g(x) = f(x_0) + f'(x_0) \cdot (x - x_0)$$

For parametric curves for t_0 :

Distance between t_0 and $t_0 + \Delta t$

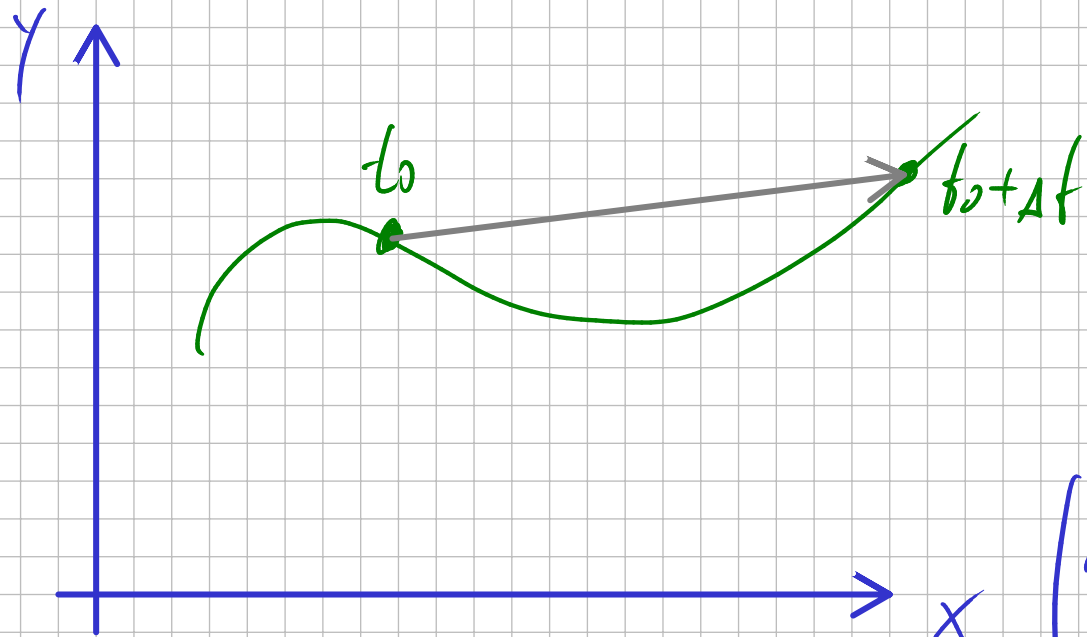
$$\vec{r}(t_0 + \Delta t) - \vec{r}(t_0)$$

Length per Δt :

$$\frac{\vec{r}(t_0 + \Delta t) - \vec{r}(t_0)}{\Delta t}$$

$\sim$ average velocity

$$\left(\frac{x(t_0 + \Delta t) - x(t_0)}{\Delta t}, \frac{y(t_0 + \Delta t) - y(t_0)}{\Delta t} \right) \xrightarrow{\Delta t \rightarrow 0} \begin{pmatrix} x'(t_0) \\ y'(t_0) \end{pmatrix} = \begin{pmatrix} \dot{x}(t_0) \\ \dot{y}(t_0) \end{pmatrix}$$



$\Rightarrow$ Tend $\vec{g}(s) = \begin{pmatrix} x(t_0) \\ y(t_0) \end{pmatrix} + s \cdot \begin{pmatrix} \dot{x}(t_0) \\ \dot{y}(t_0) \end{pmatrix} \quad s \in \mathbb{R}$

Example a) $\vec{r}(t) = \begin{pmatrix} t \\ t^2 - t \end{pmatrix} \quad t_0 = 2 \quad \dot{\vec{r}}(t) = \begin{pmatrix} 1 \\ 2t - 1 \end{pmatrix}$

$\vec{g}(s) = \begin{pmatrix} 2 \\ 2 \end{pmatrix} + s \begin{pmatrix} 1 \\ 3 \end{pmatrix} \quad s \in \mathbb{R}$

Get explicit function: $\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 2 \\ 2 \end{pmatrix} + s \begin{pmatrix} 1 \\ 3 \end{pmatrix} \quad / \cdot \begin{pmatrix} -3 \\ 1 \end{pmatrix}$

$-3x + y = -4 + 0 \Rightarrow y = 3x - 4$

Example b) $\vec{v}(t) = \begin{pmatrix} t \sin(t) \\ \sin(t) \end{pmatrix}$ $t_0 = \frac{\pi}{4}$

For a parametric curve a singular point is a point with zero velocity i.e.

$$\begin{pmatrix} \dot{x}(t) \\ \dot{y}(t) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

Example a) $\vec{v}(t) = \begin{pmatrix} t^5 \\ t^2 + 1 \end{pmatrix}$ $\dot{\vec{v}}(t) = \begin{pmatrix} 5t^4 \\ 2t \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix} \Rightarrow \text{for } t=0 \Rightarrow \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

b) $\vec{v}(t) = \begin{pmatrix} \cos(t) \\ \sin(2t) \end{pmatrix}$ $\dot{\vec{v}}(t) = \begin{pmatrix} -\sin(t) \\ 2 \cdot \cos(2t) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ $\hookrightarrow$

Curves in Polar coordinates

Cartesian coordinates x and y

polar coordinates r and φ
radius and angle

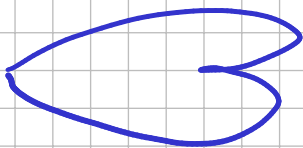
Sometimes it is simpler to use polar coordinates for functions
 $r = f(\varphi)$ instead of $y = f(x)$

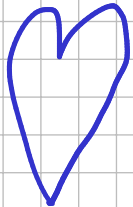
We can get the parametric curve for $r = f(\varphi)$ by

$$\vec{r}(\varphi) = \begin{pmatrix} x(\varphi) \\ y(\varphi) \end{pmatrix} = \begin{pmatrix} r(\varphi) \cdot \cos(\varphi) \\ r(\varphi) \cdot \sin(\varphi) \end{pmatrix}$$

Example a) circle with center $(0,0)$ and radius 2
 $r(\varphi) = 2$ constant $\varphi \in [0, 2\pi)$

b) $r(\varphi) = 2\varphi$ linear $\varphi \in [0, 4\pi)$ $\rightarrow$ spiral

c) $r(\varphi) = 1 - \cos(\varphi)$ $\varphi \in [0, 2\pi)$ $\rightarrow$ 

or  cardioid curve

Surfaces in Parameter form

$$\vec{r}(t) = \begin{pmatrix} x(t) \\ y(t) \end{pmatrix} \rightarrow \text{curve in 2d}$$

$$\vec{r}(t) = \begin{pmatrix} x(t) \\ y(t) \\ z(t) \end{pmatrix} \quad \text{curve in 3d}$$

$$\vec{r}(s, t) = \begin{pmatrix} x(s, t) \\ y(s, t) \\ z(s, t) \end{pmatrix}$$

$s, t \dots$ parameters

$$s \in D_s \subseteq \mathbb{R}^2$$

$$t \in D_t \subseteq \mathbb{R}$$

Special case

$$x(s, t) = s$$

$$y(s, t) = t$$

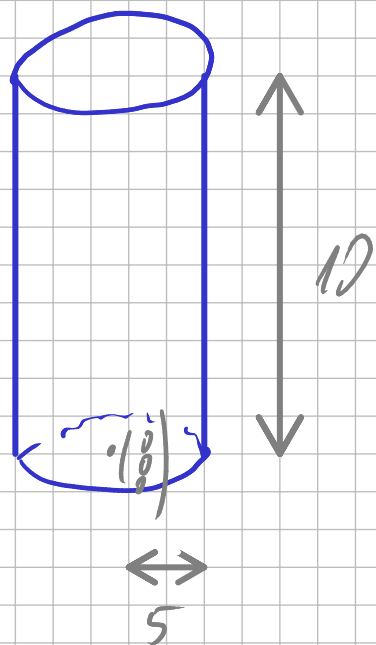
$$z(s, t) = h(s, t) = h(x, y) \rightarrow \text{function in } \mathbb{R}^2$$

Example

a) Plane with points $\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$, $\begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}$ and $\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$

$$\vec{r}(s, t) = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} + s \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + t \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \quad s, t \in \mathbb{R}$$

b) Cylinder barrel radius 5 height 10



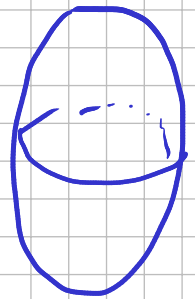
$$\vec{r}(\varphi, z) = \begin{pmatrix} 5 \cos(\varphi) \\ 5 \sin(\varphi) \\ z \end{pmatrix}$$

$$\varphi \in [0, 2\pi) \quad z \in [0, 10]$$

Cylinder coordinates

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} r \cos(\varphi) \\ r \sin(\varphi) \\ z \end{pmatrix}$$

c) Sphere with radius 5



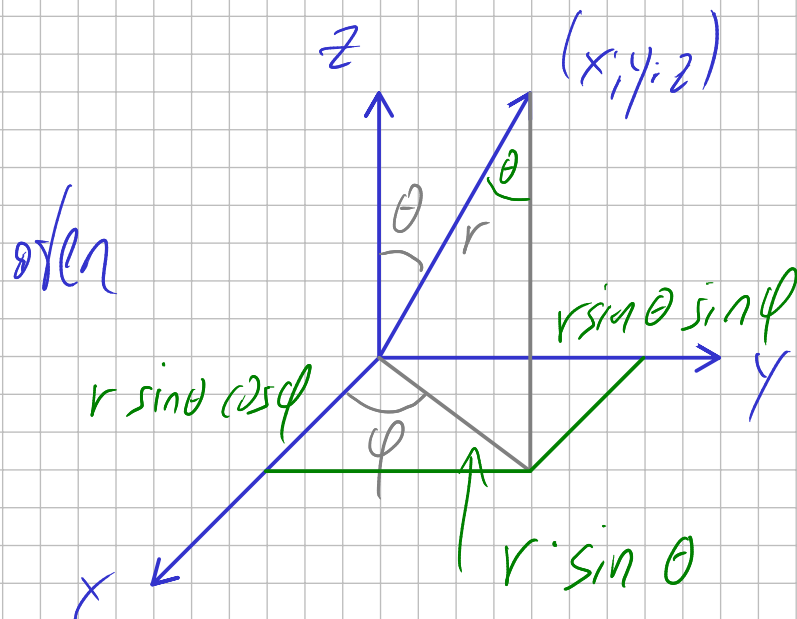
$$\vec{r}(\varphi, \theta) = \begin{pmatrix} 5 \sin(\theta) \cos(\varphi) \\ 5 \sin(\theta) \sin(\varphi) \\ 5 \cos(\theta) \end{pmatrix}$$

$$\varphi \in [0, 2\pi)$$

$$\theta \in [0, \pi]$$

Spherical coordinates

$$\vec{r}(\varphi, \theta) = \begin{pmatrix} r \sin(\theta) \cos(\varphi) \\ r \sin(\theta) \sin(\varphi) \\ r \cos(\theta) \end{pmatrix}$$



Contour plot and implicit curves

$$f: D \subseteq \mathbb{R}^2 \rightarrow \mathbb{R} \subseteq \mathbb{R}$$

$$(x, y) \mapsto f(x, y)$$

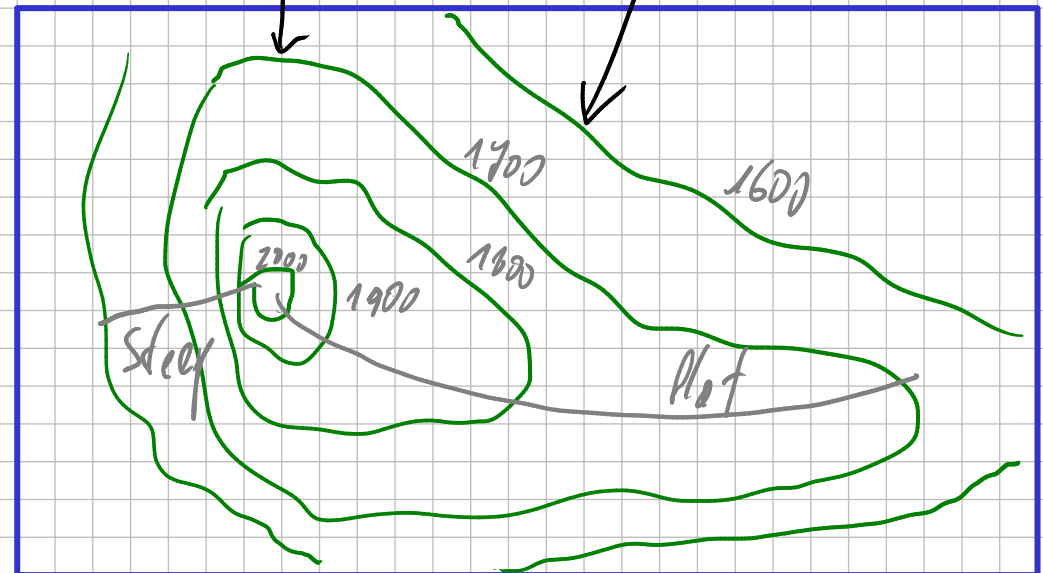
function in 2d

Interpretation as sea level



$$f(x, y) = 1700$$

$$f(x, y) = 1600$$



Implicit curve $f(x, y) = c$

Example

a) $x^2 + y^2 = 4 \rightarrow$ circle with center $(0, 0)$ radius 2

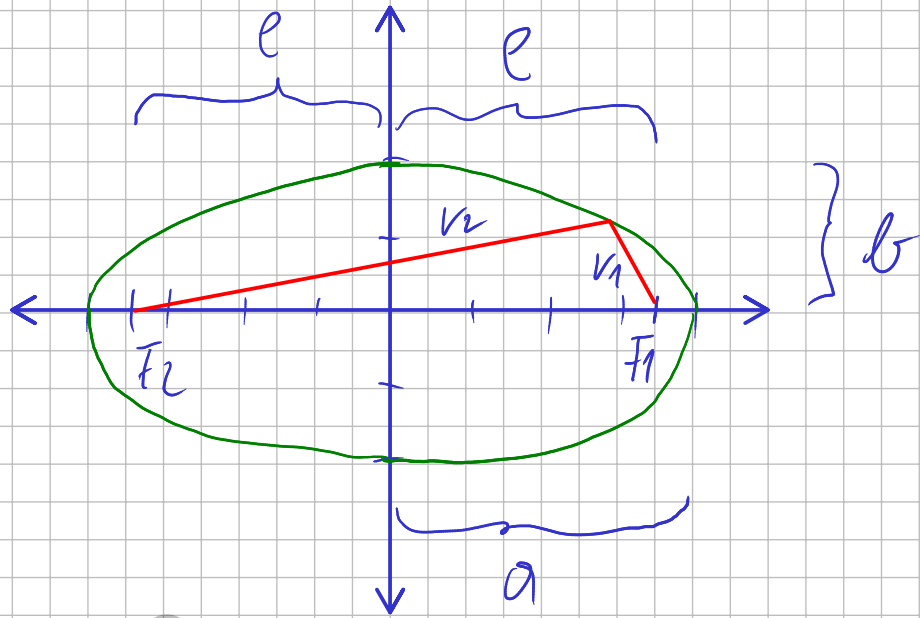
b) $\left(\frac{x}{4}\right)^2 + \left(\frac{y}{2}\right)^2 = 1 \rightarrow$ ellipse and $a=4$ $b=2$

Parameter form $\vec{v}(t) = \begin{pmatrix} 4 \cos(t) \\ 2 \sin(t) \end{pmatrix} \quad t \in [0, 2\pi)$

c) $\left(\frac{x}{4}\right)^2 - \left(\frac{y}{2}\right)^2 = 1 \rightarrow$ hyperbola

Parameter form $\vec{v}(t) = \begin{pmatrix} \pm 4 \frac{t^2+1}{2t} \\ 2 \frac{t^2-1}{2t} \end{pmatrix} \quad t \in \mathbb{R} \quad \text{or} \quad \vec{v}(t) = \begin{pmatrix} \pm 4 \cosh(t) \\ 2 \sinh(t) \end{pmatrix} \quad t \in \mathbb{R}$

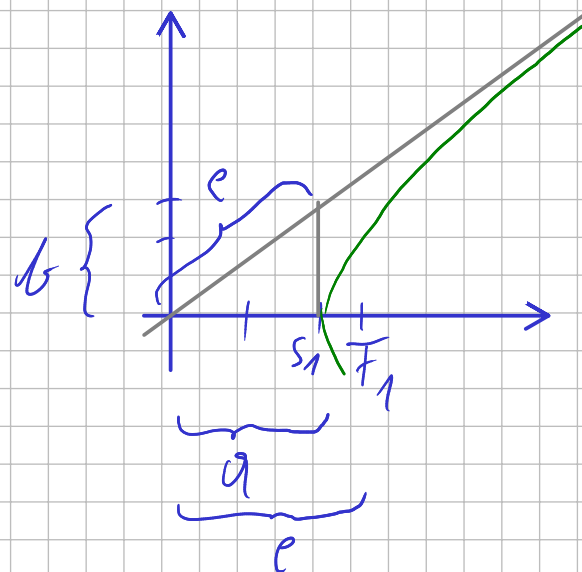
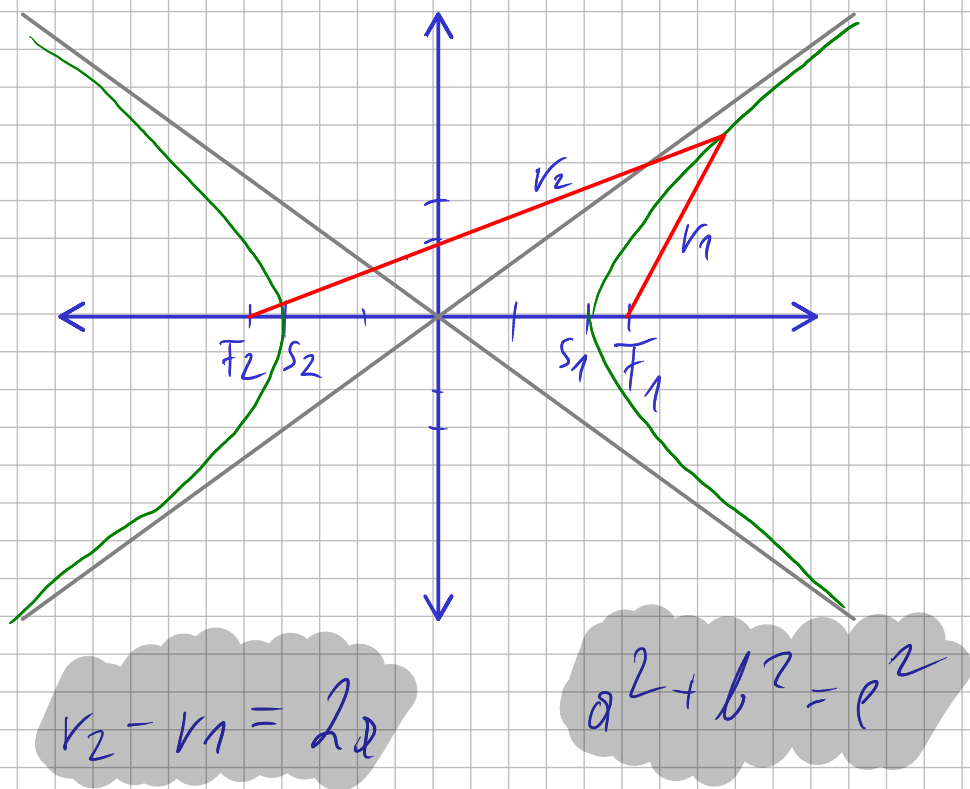
For interested readers, ellipse:



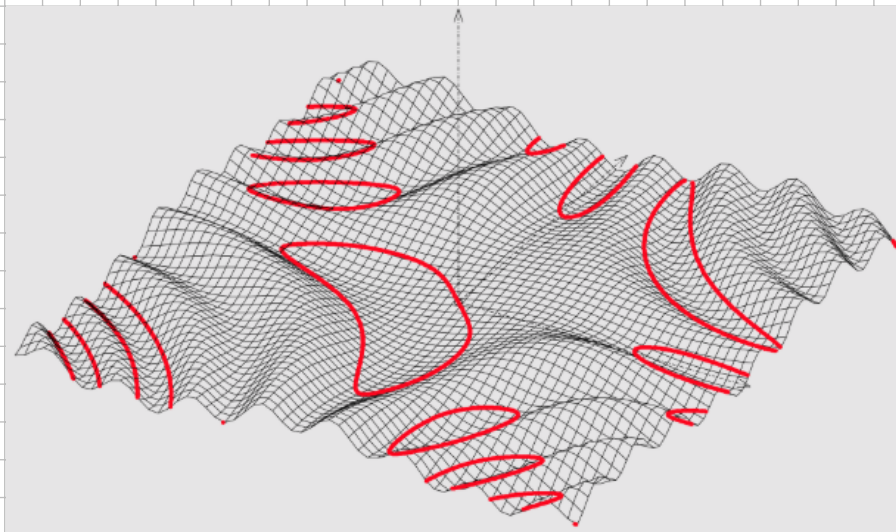
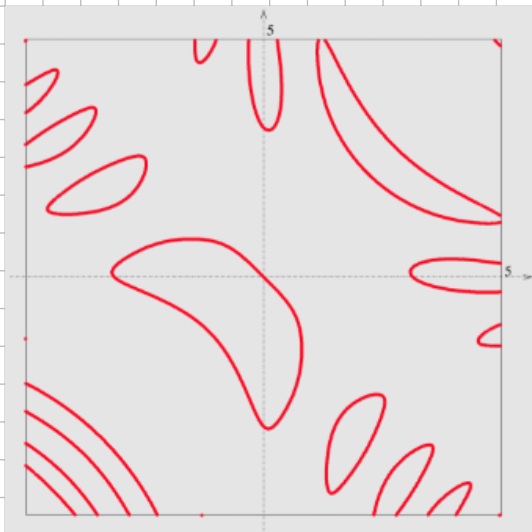
$$r_2 + r_1 = 2a$$

$$a^2 - b^2 = e^2$$

For interested readers, hyperbolas:

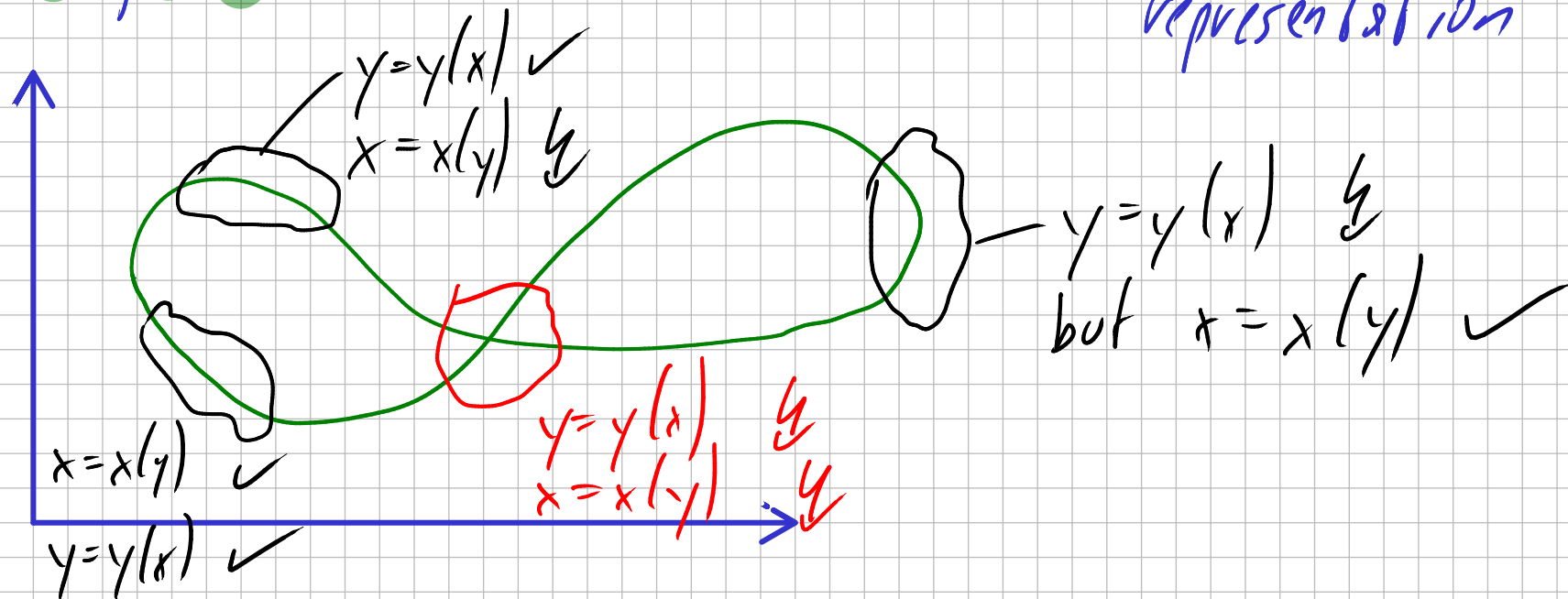


d) $\sin(xy) - \cos(xy) + 1 = 0$



Tangent for implicit curves

Idea: locally use explicit (unknown) representation $y = y(x)$ or $x = x(y)$



$f(x, y) = c \quad \leadsto \quad f(x, y(x)) = c \quad \text{or} \quad f(x(y), y) = c \quad \text{locally}$

How to get y' (or x') and how to get tangent?

Tangent for explicit functions: $f(x) = y(x_0) + y'(x_0)(x - x_0)$

$$\frac{d}{dx} f(x, y(x)) = \frac{d}{dx} c \quad \leadsto \quad y'(x) = \dots$$

Example $f(x, y) = (x-5)^2 + (y-1)^2 = 1$ | circle center = $(5, 1)$
radius = $\sqrt{1} = 1$

Find tangent for $x_0 = 4.5$

1) Find y_0 : $(4.5 - 5)^2 + (y - 1)^2 = 1$

$$(y - 1)^2 = 0.75$$

$$y - 1 = \pm \sqrt{0.75}$$

$$y = 1 \pm \sqrt{0.75}$$

$$y_1 \approx 1.87$$

$$y_2 \approx 0.13$$

2) Get y' $\frac{d}{dx} (x-5)^2 + (y-1)^2 = \frac{d}{dx} 1$

$$2(x-5) + 2(y-1) \cdot y' = 0$$

$$y' = \frac{5-x}{y-1}$$

$x=4.5$ $y \approx 1.87$ $y' \approx 0.58$ (use exact value for y)

tangent: $f(x) \approx 1.87 + 0.58(x-4.5)$

$x=4.5$ $y \approx 0.13$ $y' \approx -0.58$ (use exact value for y)

tangent: $y(x) \approx 0.13 - 0.58(x-4.5)$

Faster way: $y' = -\frac{f_x}{f_y} = \frac{5-x}{y-1}$

$$f(x,y) = (x-5)^2 + (y-1)^2$$

$$f_x(x,y) = 2(x-5)$$

$$f_y(x,y) = 2(y-1)$$

Our approach works if f_y (denominator) is not zero

If f_y is zero but f_x is not $\Rightarrow$ same idea with $x = x(y) \Rightarrow x' = -\frac{f_y}{f_x}$

Only problem if $f_x = f_y = 0 \Rightarrow$ we call this the singular points for an implicit curve

Singular points for parametric curves are different! (no velocity)

Example

a) $x^3 - y^2 = 0$

Cusp

$$f = x^3 - y^2$$

$$f_x = 3x^2 \stackrel{!}{=} 0$$

$$f_y = -2y \stackrel{!}{=} 0$$

$$\Rightarrow x = 0$$

$$\Rightarrow y = 0$$

$$\Rightarrow (0, 0)$$

b) $x^2(x+1) - y^2 = 0$

→ node

c) $x^4 - y^2 = 0$

→ tacnode

Tangent Plane for Parametric Surfaces

For a 2d function $f(x, y)$ we know the tangent plane

$$f(x, y) = f(x_0, y_0) + f_x(x_0, y_0)(x - x_0) + f_y(x_0, y_0)(y - y_0)$$

or as vector

$$\vec{g}(s, t) = \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x_0 \\ y_0 \\ f(x_0, y_0) \end{pmatrix} + s \begin{pmatrix} 1 \\ 0 \\ f_x(x_0, y_0) \end{pmatrix} + t \begin{pmatrix} 0 \\ 1 \\ f_y(x_0, y_0) \end{pmatrix} \quad s, t \in \mathbb{R}$$

Example

$$f(x, y) = 2xy$$

$$x_0 = 2 \quad y_0 = 1$$

$$f(x_0, y_0) = 4$$

$$f_x(x, y) = 2y$$

$$f_x(2, 1) = 2$$

$$f_y(x, y) = 2x$$

$$f_y(2, 1) = 4$$

$$g(x, y) = 4 + 2(x - 2) + 4(y - 1) = -4 + 2x + 4y$$

$$\vec{g}(s, t) = \begin{pmatrix} 2 \\ 1 \\ 4 \end{pmatrix} + s \begin{pmatrix} 1 \\ 0 \\ 2 \end{pmatrix} + t \begin{pmatrix} 0 \\ 1 \\ 4 \end{pmatrix} \quad s, t \in \mathbb{R}$$

Is this the same plane?

normal vector $\vec{n} = \begin{vmatrix} 1 \\ 0 \\ 2 \end{vmatrix} \times \begin{vmatrix} 0 \\ 1 \\ 4 \end{vmatrix} = \begin{vmatrix} -2 \\ -4 \\ 1 \end{vmatrix}$

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} 2 \\ 1 \\ 4 \end{pmatrix} + s \begin{pmatrix} 1 \\ 0 \\ 2 \end{pmatrix} + t \begin{pmatrix} 0 \\ 1 \\ 4 \end{pmatrix} \quad / \cdot \begin{pmatrix} -2 \\ -4 \\ 1 \end{pmatrix}$$

$$-2x - 4y + z = -4 - 4 + 4 = -4$$

$$z = -4 + 2x + 4y \quad \checkmark$$

and for parametric surfaces $\vec{r}(s,t) = \begin{pmatrix} x(s,t) \\ y(s,t) \\ z(s,t) \end{pmatrix} \quad s \in D_s \quad t \in D_t$

$$\vec{r}(s,t) = \vec{r}(s_0, t_0) + s \begin{pmatrix} x_s(s_0, t_0) \\ y_s(s_0, t_0) \\ z_s(s_0, t_0) \end{pmatrix} + t \begin{pmatrix} x_t(s_0, t_0) \\ y_t(s_0, t_0) \\ z_t(s_0, t_0) \end{pmatrix}$$

$$s, t \in \mathbb{R}$$

For the special case $x=s$ $y=t$ $z=f(x,y)$ we get
the original formula

Example $\vec{r}(\varphi, z) = \begin{pmatrix} 5 \cos(\varphi) \\ 5 \sin(\varphi) \\ z \end{pmatrix}$ $\varphi \in [0, 2\pi)$ $z \in [0, 10]$
 $\varphi_0 = \frac{\pi}{2}$ $z_0 = 5$

$$\vec{r}\left(\frac{\pi}{2}, 5\right) = \begin{pmatrix} 0 \\ 5 \\ 5 \end{pmatrix} \quad \vec{r}_{\varphi}(\varphi, z) = \begin{pmatrix} -5 \sin(\varphi) \\ 5 \cos(\varphi) \\ 0 \end{pmatrix} \quad \vec{r}_{\varphi}\left(\frac{\pi}{2}, 5\right) = \begin{pmatrix} -5 \\ 0 \\ 0 \end{pmatrix}$$

$$\vec{r}_z(\varphi, z) = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \vec{r}_z\left(\frac{\pi}{2}, 5\right) = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \Rightarrow \vec{y}(s, t) = \begin{pmatrix} 0 \\ 5 \\ 5 \end{pmatrix} + s \begin{pmatrix} -5 \\ 0 \\ 0 \end{pmatrix} + t \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad s, t \in \mathbb{R}$$

$$\vec{n} = \begin{pmatrix} -5 \\ 0 \\ 0 \end{pmatrix} \times \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 5 \\ 0 \end{pmatrix} \Rightarrow \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} 0 \\ 5 \\ 5 \end{pmatrix} + s \begin{pmatrix} -5 \\ 0 \\ 0 \end{pmatrix} + t \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad / \cdot \begin{pmatrix} 0 \\ 5 \\ 0 \end{pmatrix}$$

$$\Rightarrow 5y = 25 \Rightarrow y = 5$$

Implicit Surfaces

$f(x, y) = c \rightarrow$ implicit curve

$f(x, y, z) = c \rightarrow$ implicit surface

Tangent plane for x_0, y_0, z_0

$$f_x(x_0, y_0, z_0)(x - x_0) + f_y(x_0, y_0, z_0)(y - y_0) + f_z(x_0, y_0, z_0)(z - z_0) = 0$$

$$\text{If } f(x, y, z) = \tilde{f}(x, y) - z \Rightarrow f_x = \tilde{f}_x \quad f_y = \tilde{f}_y \quad f_z = -1$$

$\Rightarrow$ standard formula

$$\underbrace{f_x(x_0, y_0, z_0)}_{\tilde{f}_x(x_0, y_0)} (x - x_0) + \underbrace{f_y(x_0, y_0, z_0)}_{\tilde{f}_y(x_0, y_0)} (y - y_0) + \underbrace{f_z(x_0, y_0, z_0)}_{-1} (z - z_0) = 0$$

$$z = z_0 + \tilde{f}_x(x_0, y_0) (x - x_0) + \tilde{f}_y(x_0, y_0) (y - y_0)$$

Example

$$x^2 + y^2 + z^2 = 1$$

sphere with center $(0,0,0)$
radius 1

$$x_0 = \frac{1}{2} \quad y_0 = \frac{1}{2} \quad z_0 = ?$$

$$\left(\frac{1}{2}\right)^2 + \left(\frac{1}{2}\right)^2 + z^2 = 1 \Rightarrow z = \pm \sqrt{\frac{1}{2}} = \pm \frac{\sqrt{2}}{2}$$

$$\begin{array}{l} f_x(x, y, z) = 2x \\ f_y(x, y, z) = 2y \\ f_z(x, y, z) = 2z \end{array} \quad \left. \begin{array}{l} f_x\left(\frac{1}{2}, \frac{1}{2}, \frac{\sqrt{2}}{2}\right) = 1 \\ f_y\left(\frac{1}{2}, \frac{1}{2}, \frac{\sqrt{2}}{2}\right) = 1 \\ f_z\left(\frac{1}{2}, \frac{1}{2}, \pm \frac{\sqrt{2}}{2}\right) = \pm \sqrt{2} \end{array} \right\} \begin{array}{l} 1 \cdot \left|x - \frac{1}{2}\right| + 1 \cdot \left|y - \frac{1}{2}\right| \pm \sqrt{2} \left|z \mp \frac{\sqrt{2}}{2}\right| = 0 \\ x + y - 2 = \mp \sqrt{2} z \\ t_1: z = \frac{x+y-2}{-\sqrt{2}} = \frac{\sqrt{2}}{2} (2-x-y) \\ t_2: z = \frac{x+y-2}{\sqrt{2}} = \frac{\sqrt{2}}{2} (-2+x+y) \end{array}$$